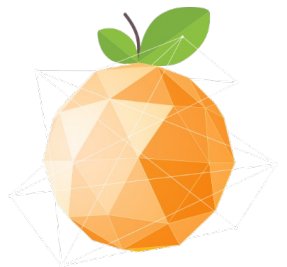




METU

Communication Networks Research  
Group

<http://cng-eee.metu.edu.tr>



**FRESHDATA**

# Native AI as enabler of Semantic or Goal-Oriented Communication in 6G and beyond

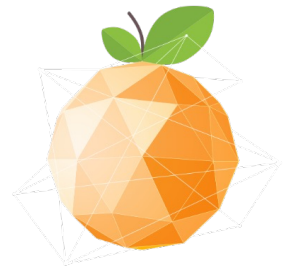
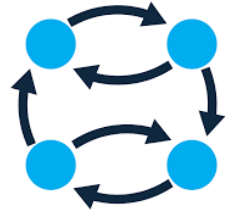
**Elif Uysal**

Professor, Middle East Technical University, Turkey  
Founder, FRESHDATA Technologies

**PIMRC 2023 WS08: Native AI and Semantic Communication**  
Toronto, ON, Canada  
September 5, 2023

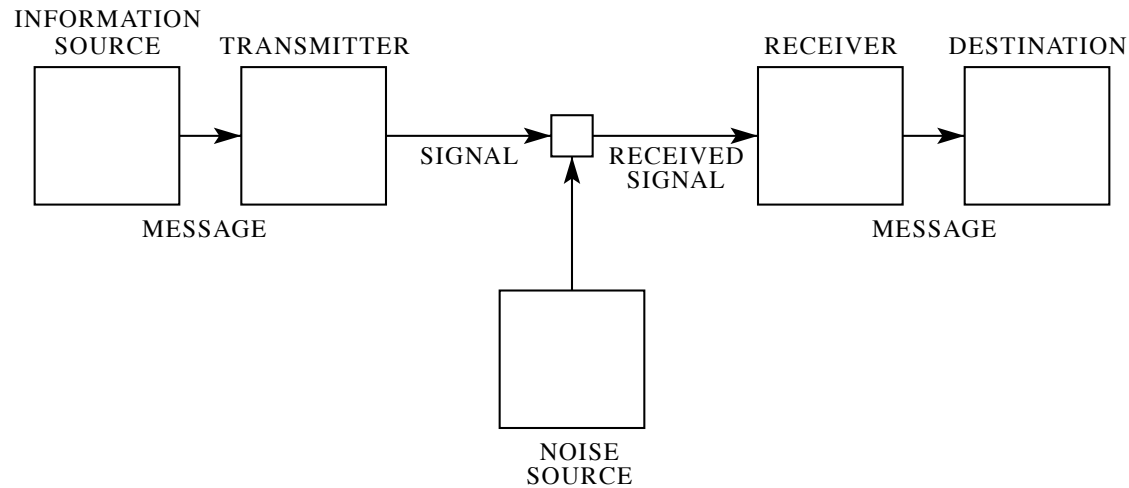
# Outline

- Why do we need “Semantic” or Goal-oriented Communication
- What are some goal-oriented KPIs that can guide the design of protocols today
- AI/ML approaches to cater semantic and goal oriented objectives

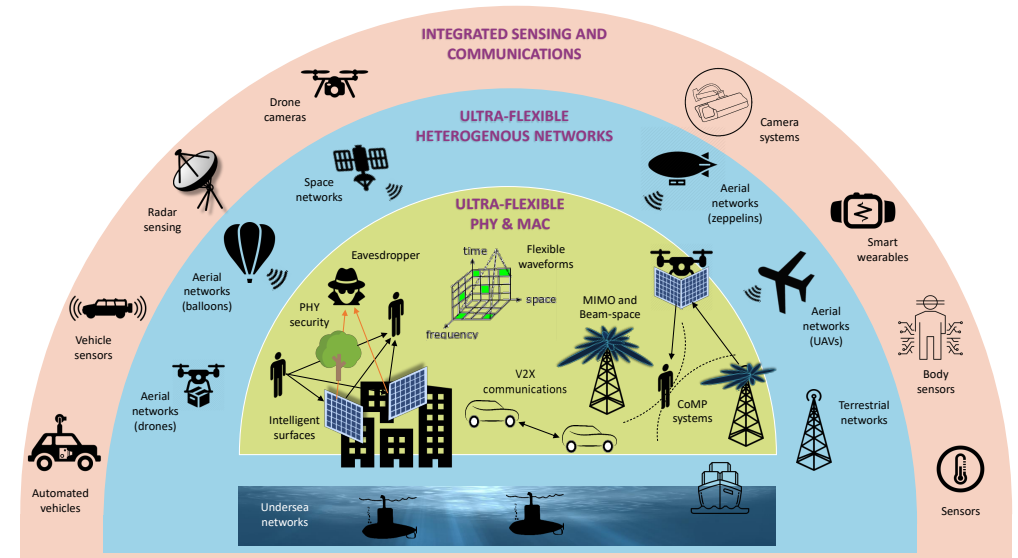


# Classical Communication Systems and Emerging Communication Systems

- Humans choose the data
- Network ensures **correct, timely delivery of ALL of the data**
- Shannon's definition of the transmission problem perfectly optimized this technical problem



- Machine-type Communications (MTC)
- Network caters timely & useful data for **correct decision making/actuation**
- Inefficient for communication system to ignore the **sense-compute-actuate cycle in many applications**



Source: Yazar et al, 6G Vision: An ultra flexible perspective.

# Semantic/Effectiveness Problems

## Recent Contributions to The Mathematical Theory of Communication

Warren Weaver

September, 1949



Claude Shannon



Warren Weaver

## Foundations of the Theory of Signs

Charles W. Morris



Charles W. Morris

## 1.2 Three Levels of Communications Problems

Relative to the broad subject of communication, there seem to be problems at three levels. Thus it seems reasonable to ask, serially:

**LEVEL A.** How accurately can the symbols of communication be transmitted? (The technical problem.)

**LEVEL B.** How precisely do the transmitted symbols convey the desired meaning? (The semantic problem.)

**LEVEL C.** How effectively does the received meaning affect conduct in the desired way? (The effectiveness problem.)

### Semiosis and Semiotic

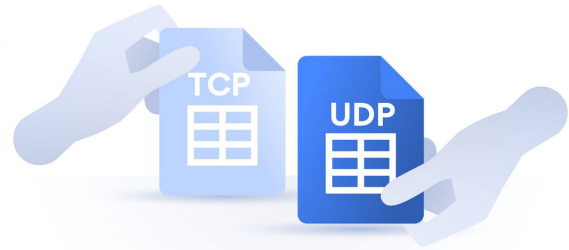
- 1) syntactics – the study of the methods by which signs may be combined to form compound signs,
- 2) semantics – the study of the signification of signs,
- 3) pragmatics – the study of the origins, uses, and effects of signs.

The science of relation of signs to their interpreters

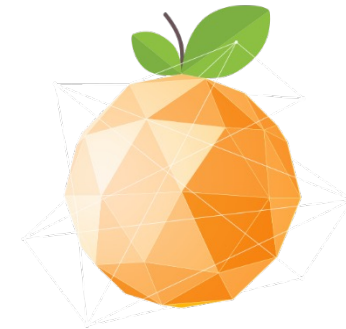
# GAP current protocols and effective communication



## Available Communication Protocols



## Semantic/Effective Communication



### Traditional protocols

Optimized for high throughput/low delay,  
Low loss (transmit all the data)

### Real time monitoring/decision making

Goal-oriented performance criteria

- **MTC is Loss resilient !**

e.g. irrelevant data can be dropped from queues

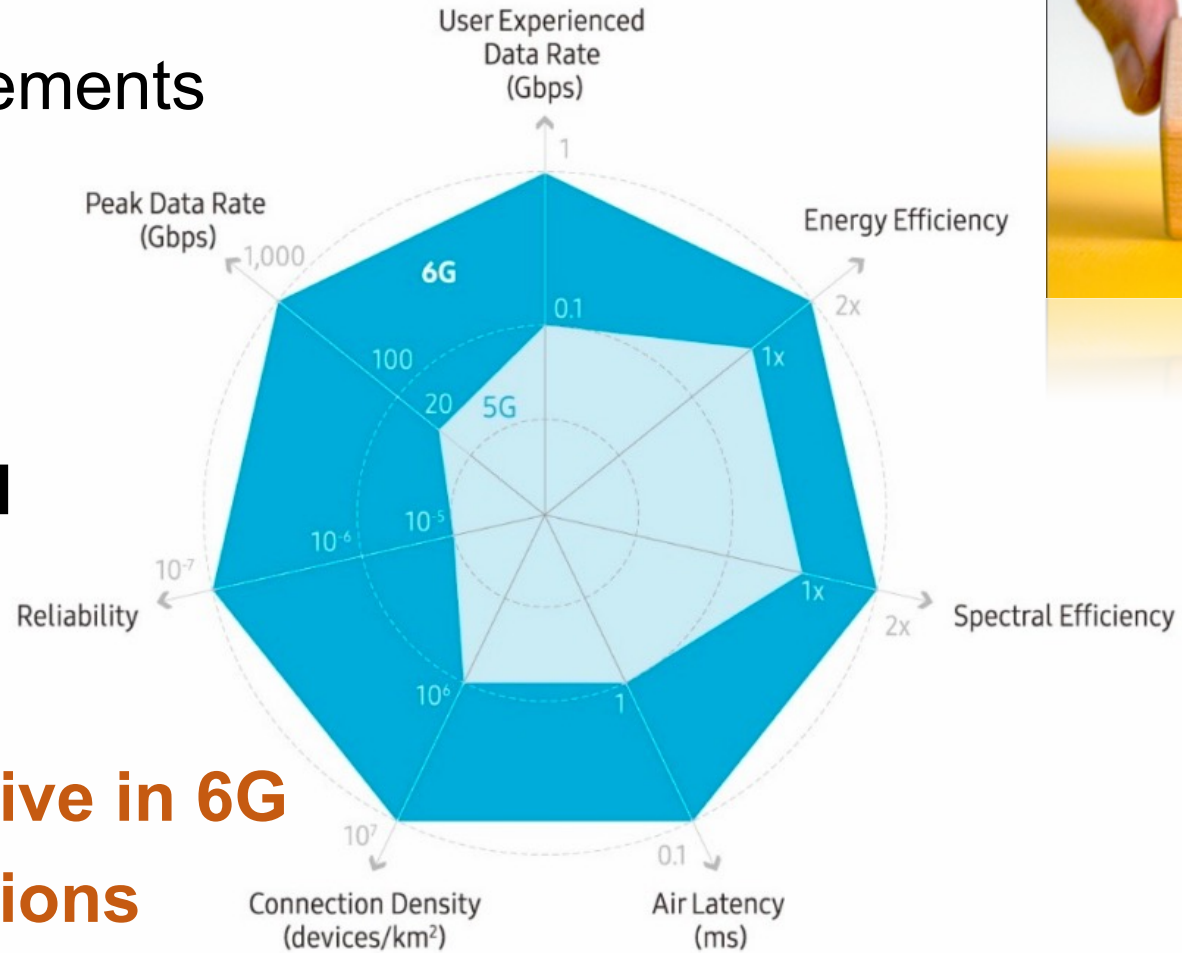
- **Future networks: AI-native**

Exploit cognition introduced into the communication system for resilient and robust networking

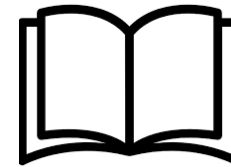
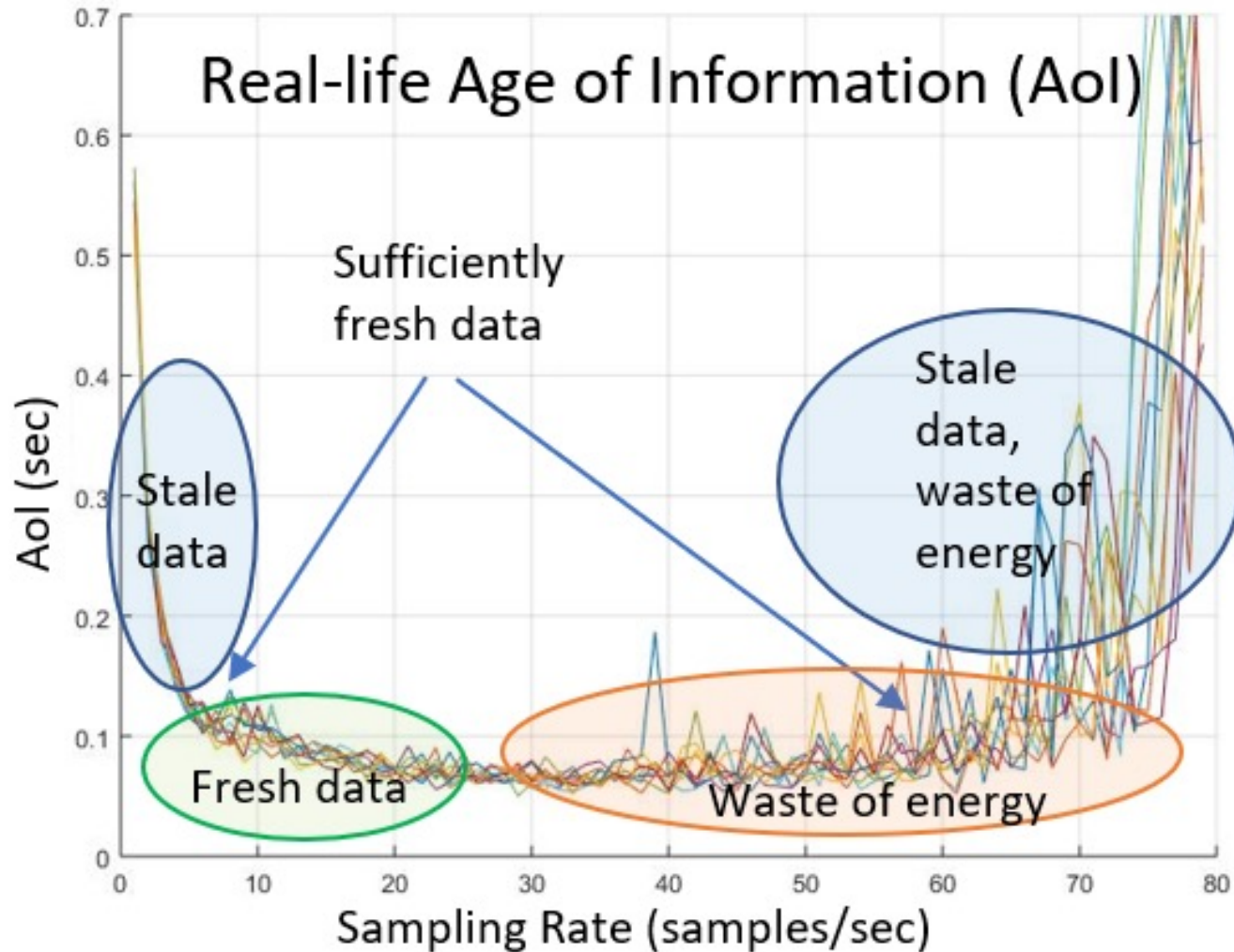
# 6G Evolution: we cannot ignore the gap

- Conflicting ambitious requirements
- One size fits all not possible
- Must rethink the **end-to-end** communication system design

**Sensing and NTN will be native in 6G**  
**Further: Space Communications**



# Effectiveness KPI example: Age of Information



**Book Chapter:**

***Age of Information In Practice***

[Uysal, Kaya, Baghaee, Beytur, 2023]



**Conference presentations:**

[Guloglu, Baghaee, Uysal 2021]

[Beytur, Baghaee, Uysal 2020]

[Beytur, Baghaee, Uysal 2019]

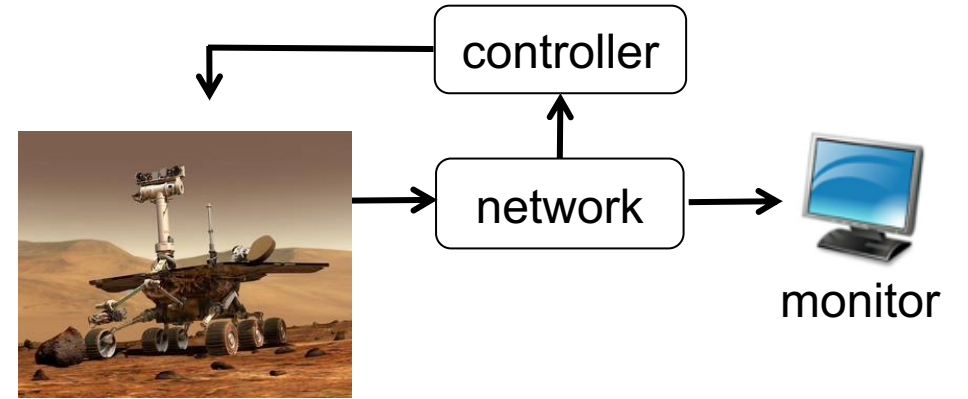
[Sonmez, Baghaee, Ergisi, Uysal 2018]

[Sert, Sönmez, Baghaee, Uysal 2018]

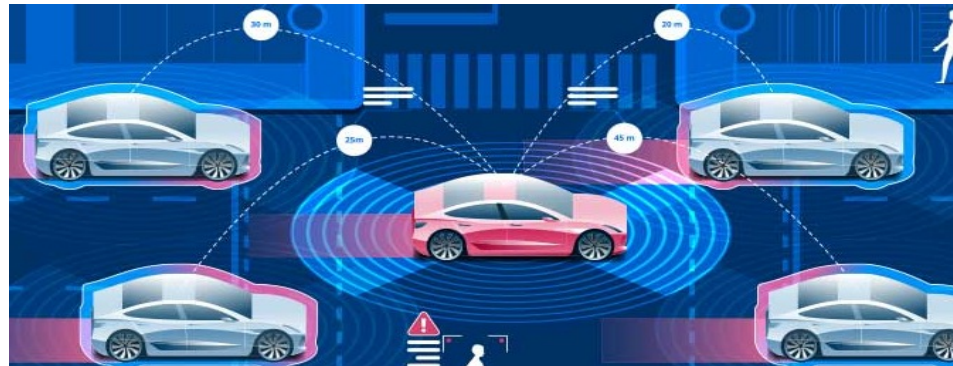
[Baghaee, Beytur, Uysal 2019]

# Freshness as an effectiveness KPI for IoT

Satellite IoT



Remote Monitoring and Control



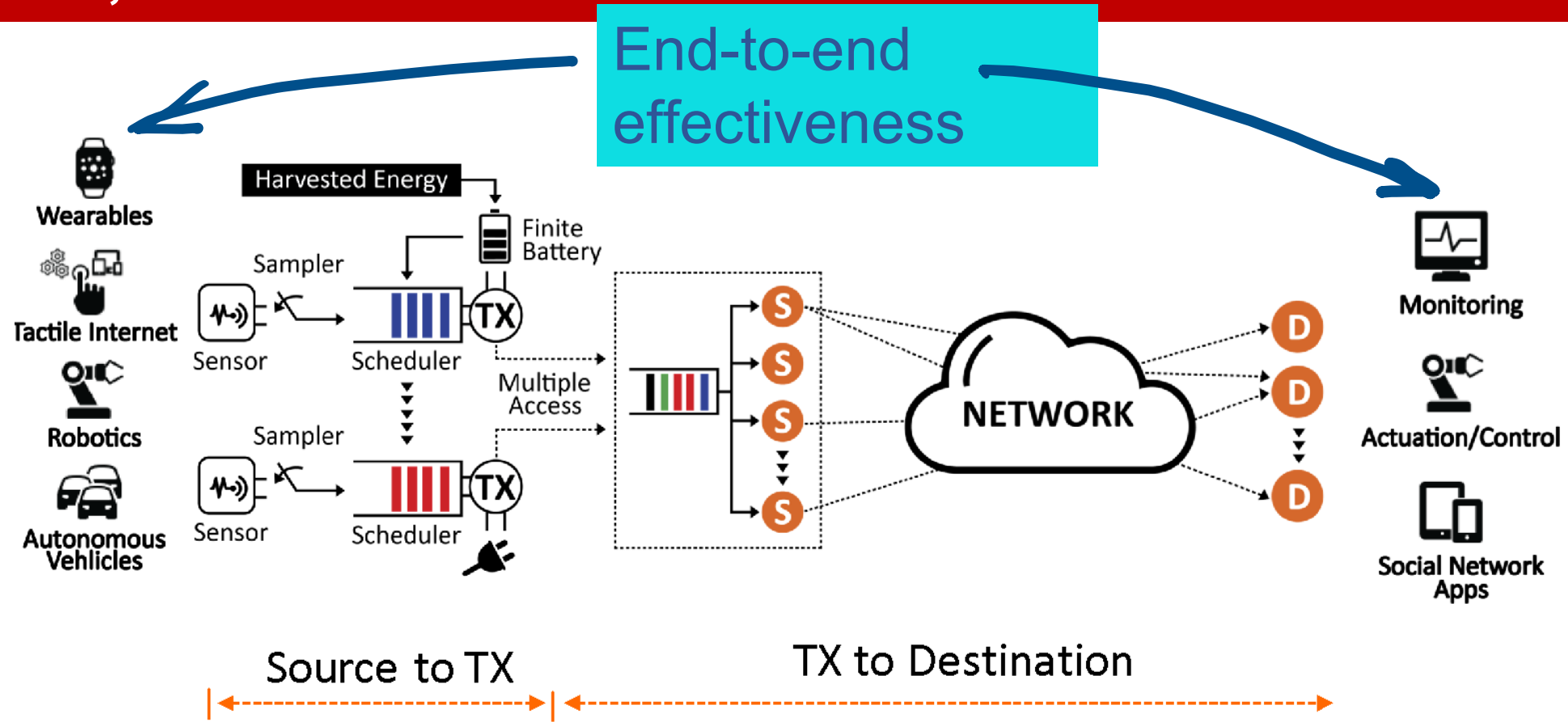
Automated Vehicles

Terrestrial localization and tracking



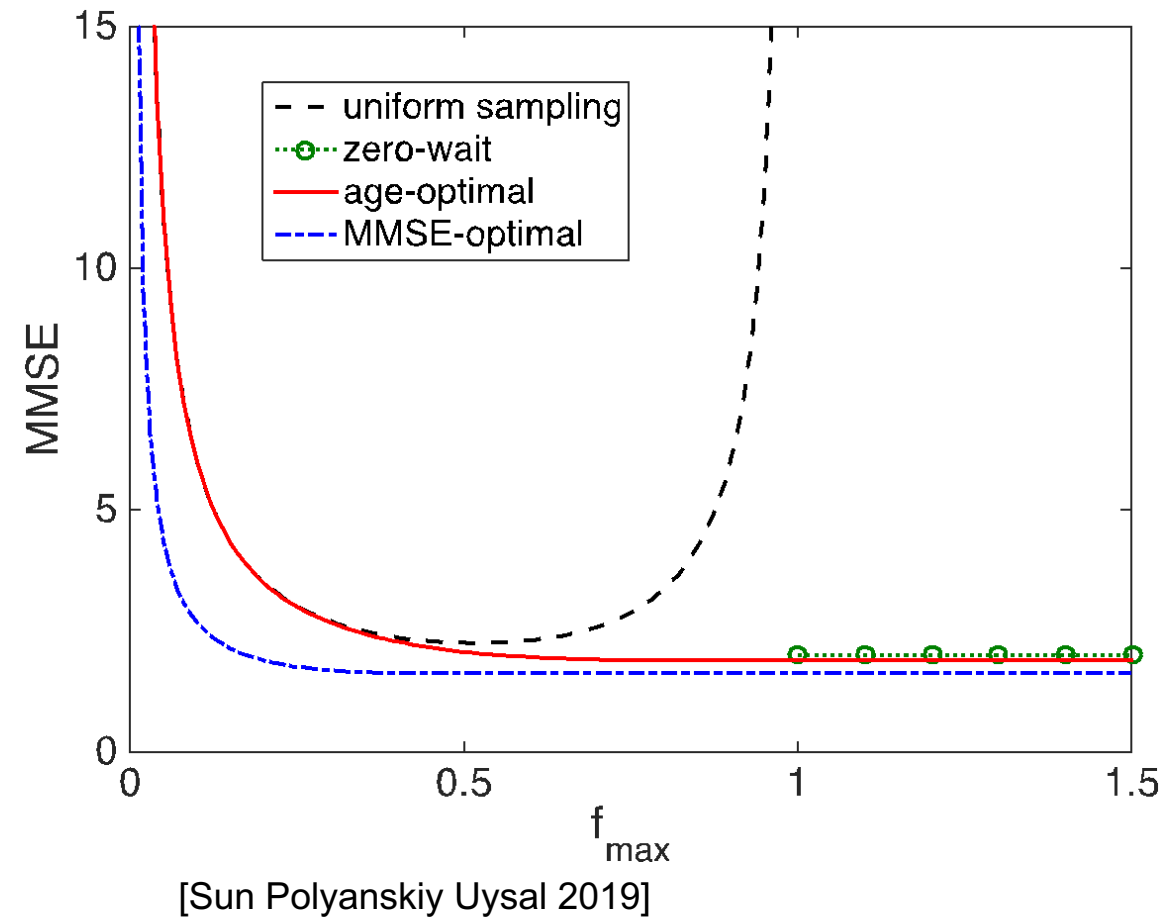


# Semantic communication: a data significance perspective, Uysal et al., IEEE Network 2022



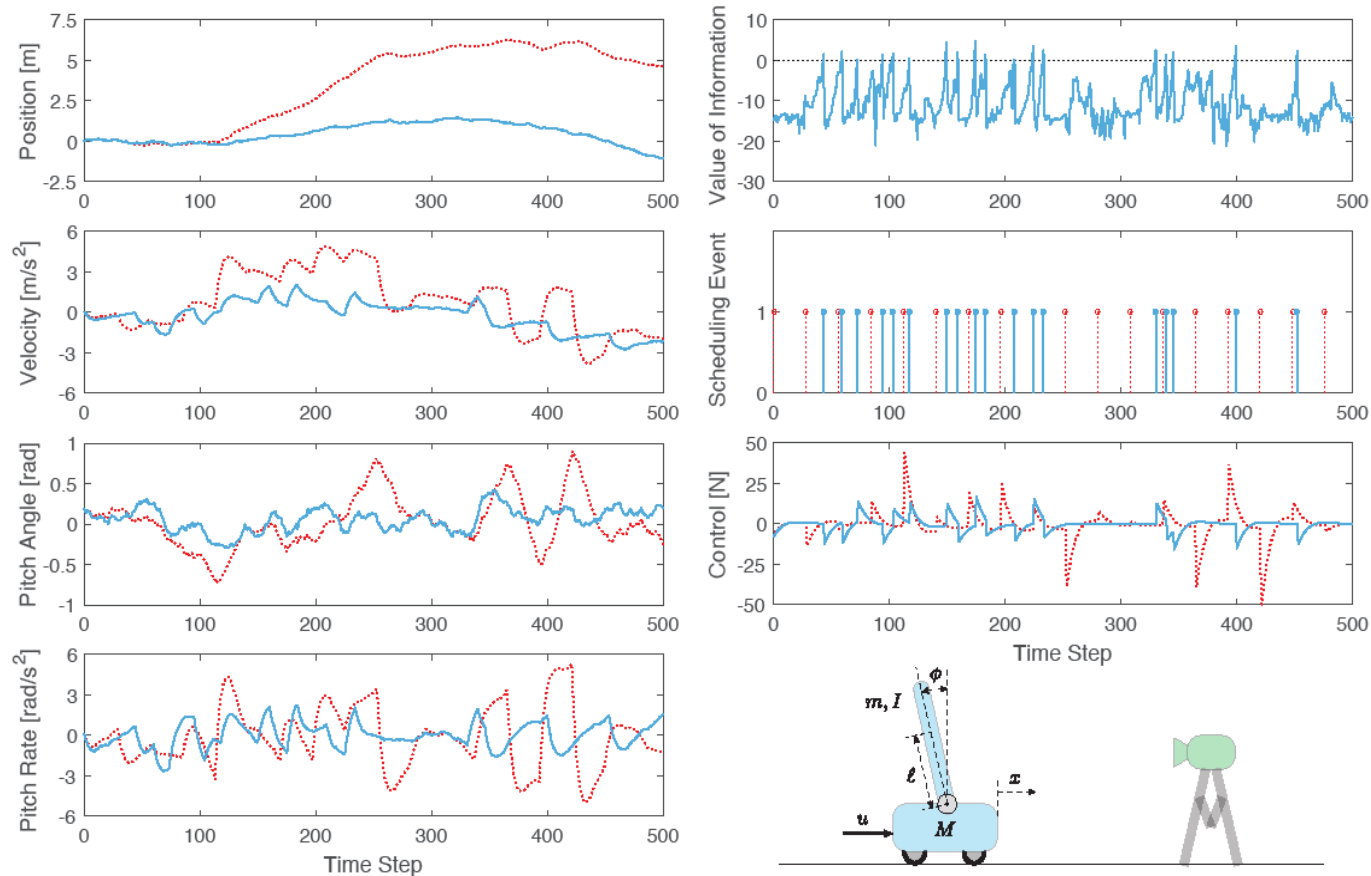
# Relevance

- Send the significant bits of information
- Eg. Measurements of a process/images/video sent for remote estimation/ AI, remote training of Models, digital twins, etc
- Separate handling of sampling, encoding and transmission -> highly suboptimal
- Non-uniform/semantics aware sampling and JSCC



# Value- Vol

- Relevance: source based
- Value: the *value* of the next source sample to the point of computation.
- (Vol) : difference between the benefit of having this sample and the cost of its transmission.



- Vol > 0 18 times out of 500 -> transmit control signal.
- Vol based (blue), periodic with same number of transmissions (red).

# Freshness / Relevance Age of Incorrect Information (AoII)

$$\Delta_{AoII}(t) = f(t) \times g(X(t), \hat{X}(t))$$

time penalty

information penalty [Maatouk et al 2020]

$$f_{linear}(t) = t - V(t),$$

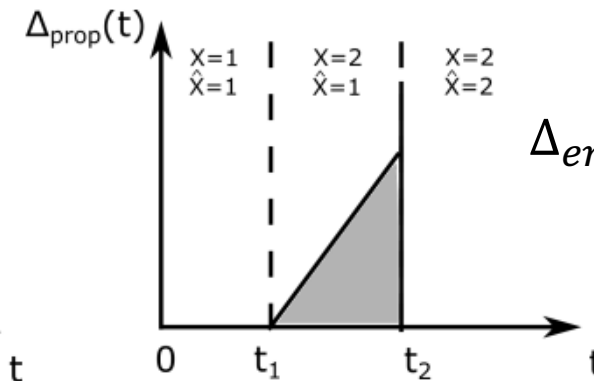
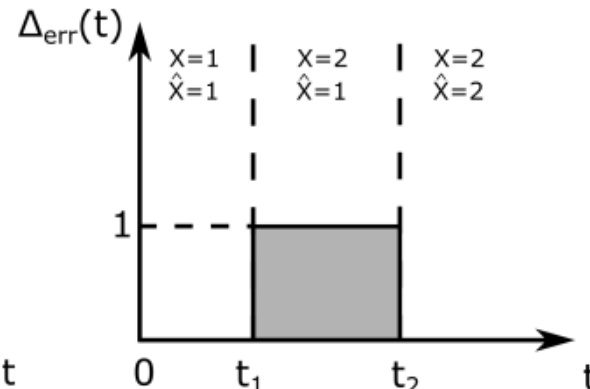
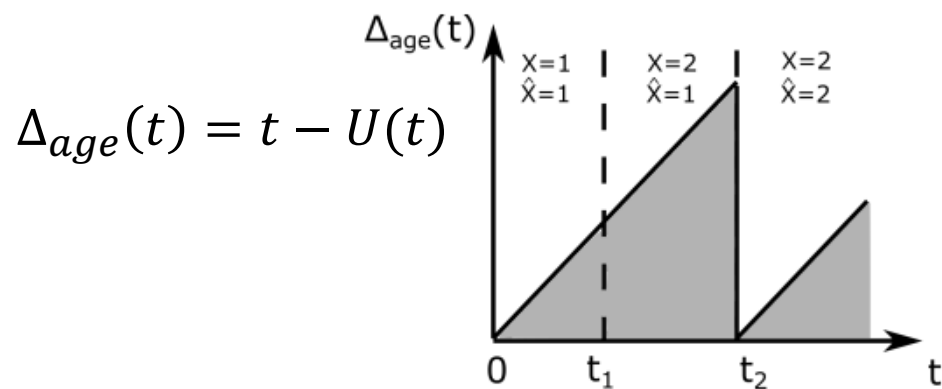
$$f_{exponential}(t) = \exp(\alpha(t - V(t)))$$

$$f_{threshold}(t) = \mathbf{1}_{\{t - V(t) \geq d\}}$$

$$g_{ind}(X(t), \hat{X}(t)) = \mathbf{1}_{\{X(t) \neq \hat{X}(t)\}}$$

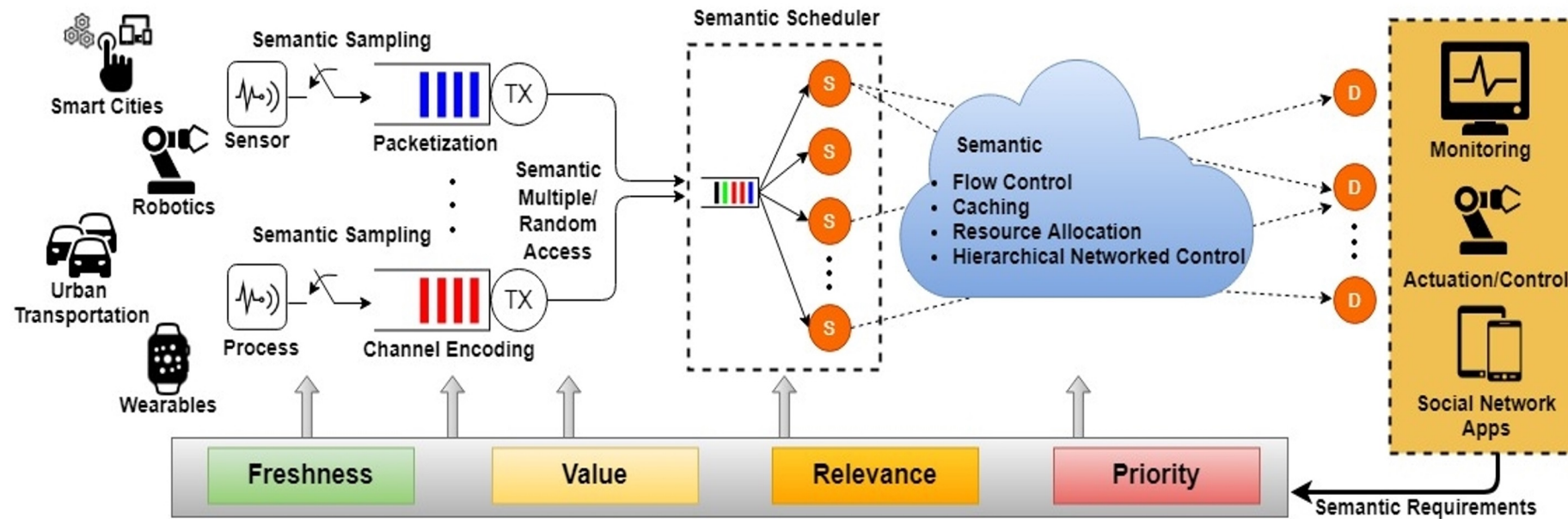
$$g_{sq}(X(t), \hat{X}(t)) = (X(t) - \hat{X}(t))^2$$

$$g_{threshold}(X(t), \hat{X}(t)) = \mathbf{1}_{|X(t) - \hat{X}(t)| \geq c}$$



$$\Delta_{err}(t) = \mathbf{1}_{\{X(t) \neq \hat{X}(t)\}}$$

# End-to-end Semantic Communication Architecture

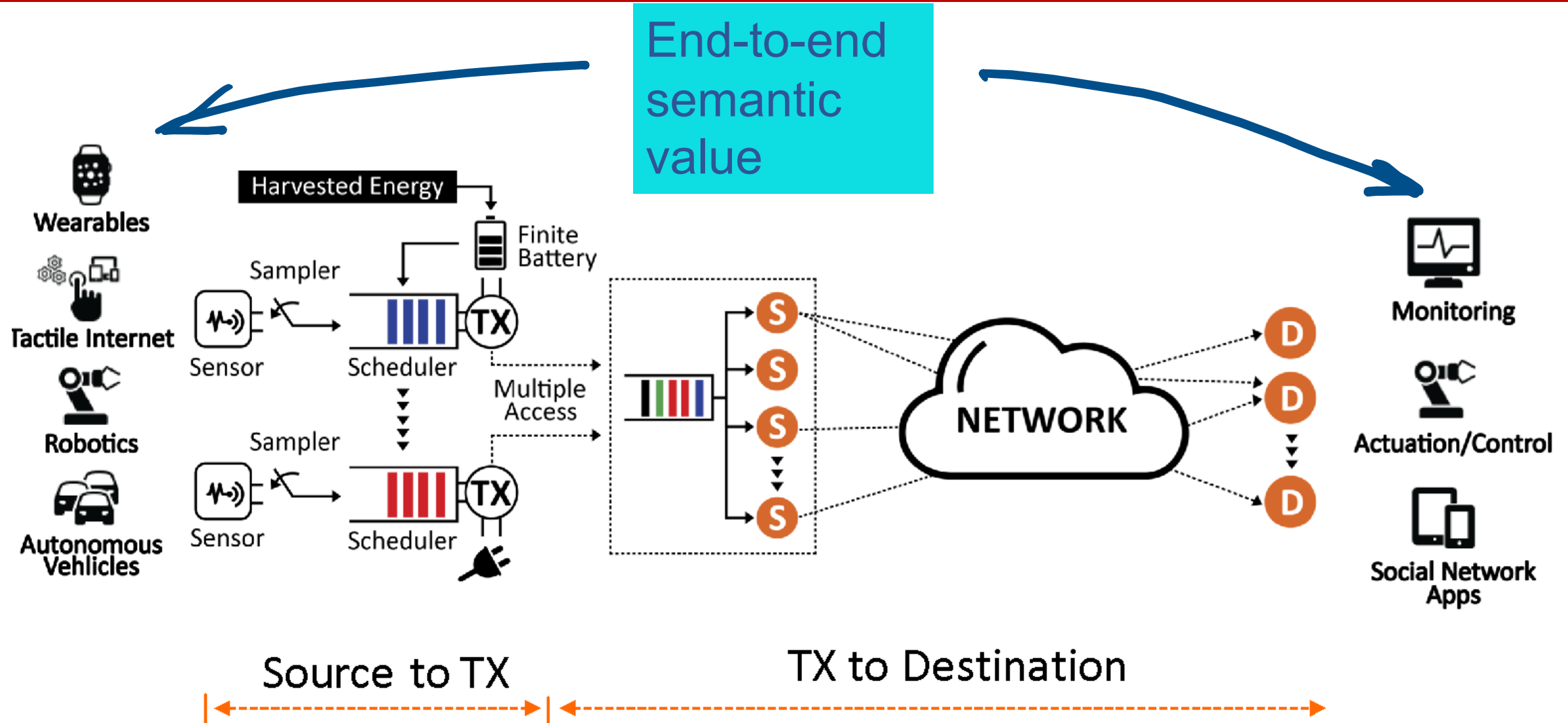


- New semantic/effectiveness measures and metrics that define them.
- Develop link, transport and application layer principles in concert to fulfil semantic-related targets
- Relax the exogeneous data arrival assumption
  - **Non-uniform process/ semantics aware sampling/JSCC**
- New communication protocol principles tailored for information flow in networked **control systems**.

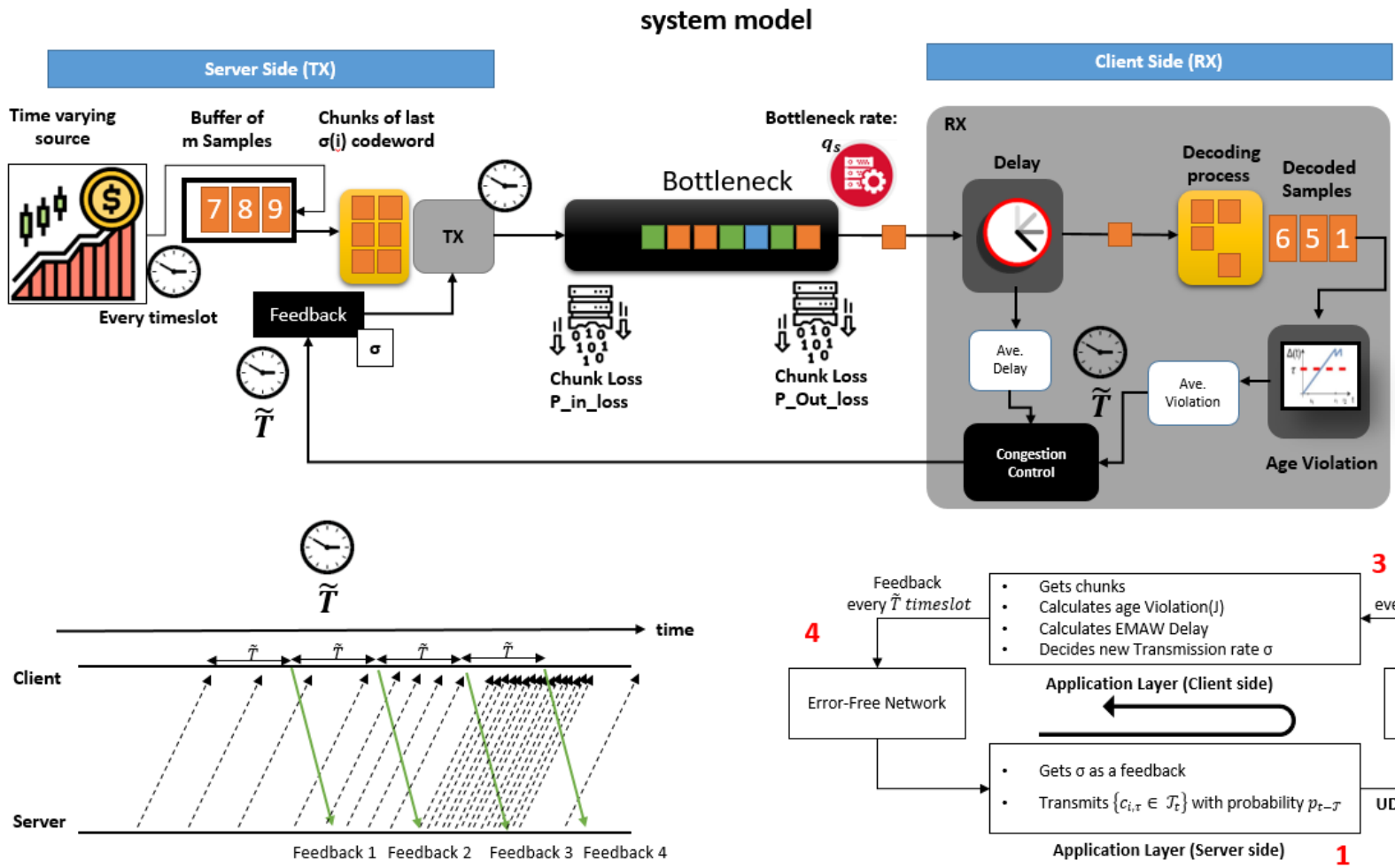
# AI/ML Enablers of Semantic/Goal Oriented Comm

- Communications for Learning.  $\Leftrightarrow$  Learning for Communications
- Interesting results by Saad et al, Gunduz et al, Bennis et al, and others
- Examples: training of the digital twin of the communication system, MARL for cooperative/multiple access communication, joint optimization of federated (edge) learning and communications...

# Freshness via Transport and Higher Layer Mechanisms



# A3L-FEC protocol: Age-Aware Application Layer FEC



**A<sup>3</sup>L – FEC and ACP+ performance:**  
(Amount of time the violation happens)

- under different packet loss probabilities
- Age violation threshold equal to 5.

$P_{inloss}$	$P_{outloss}$	ACP+	A3L-FEC	k	n
0	0	0.66	0	3	3
0	0.1	0.02	0.0001	3	3
0	0.2	0.02	0.0067	3	3
0.1	0	0.004	0.00005	3	3
0.1	0.1	0.014	0.003	3	3
0.1	0.2	0.048	0.0037	3	4
0.2	0	0.014	0.0004	4	5
0.2	0.1	0.046	0.0028	3	4
0.2	0.2	0.11	0.0078	3	4

Average of 100 seeds

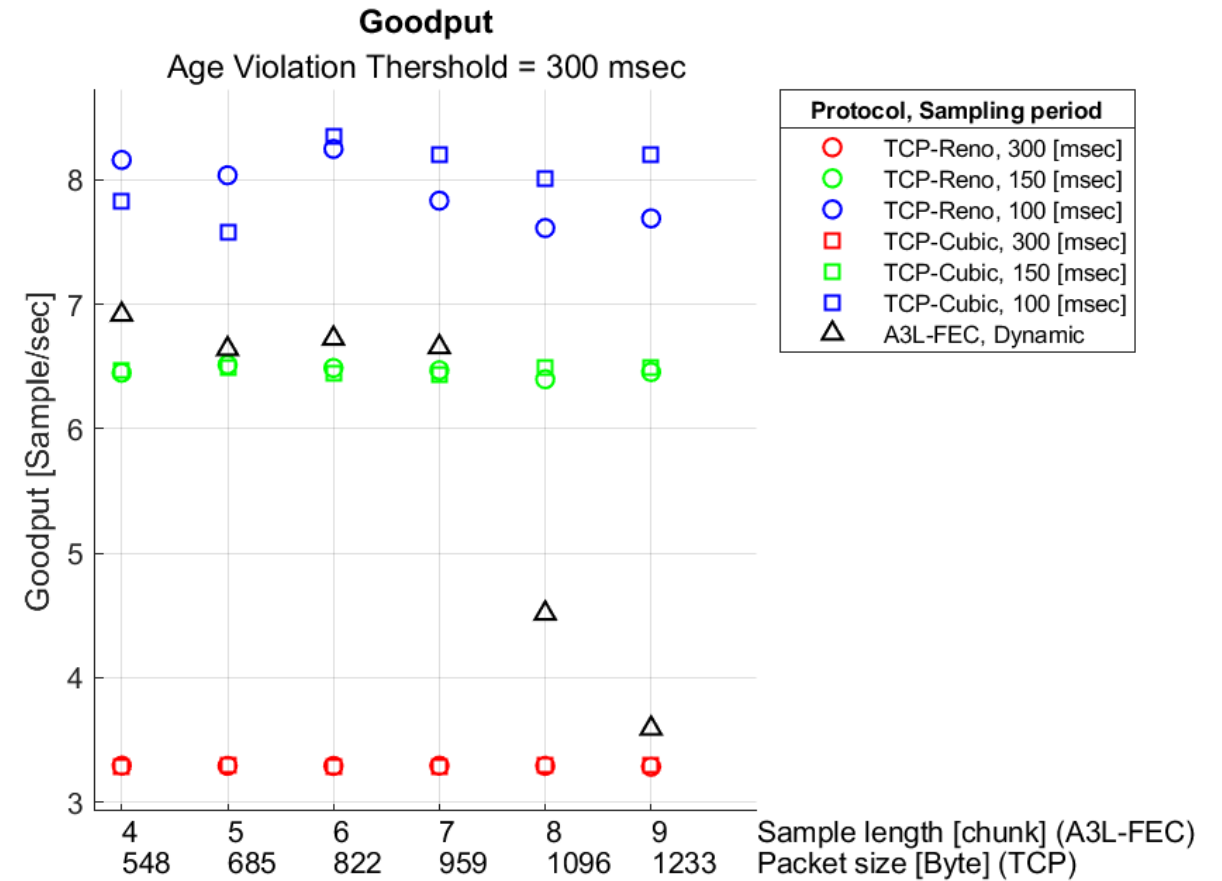
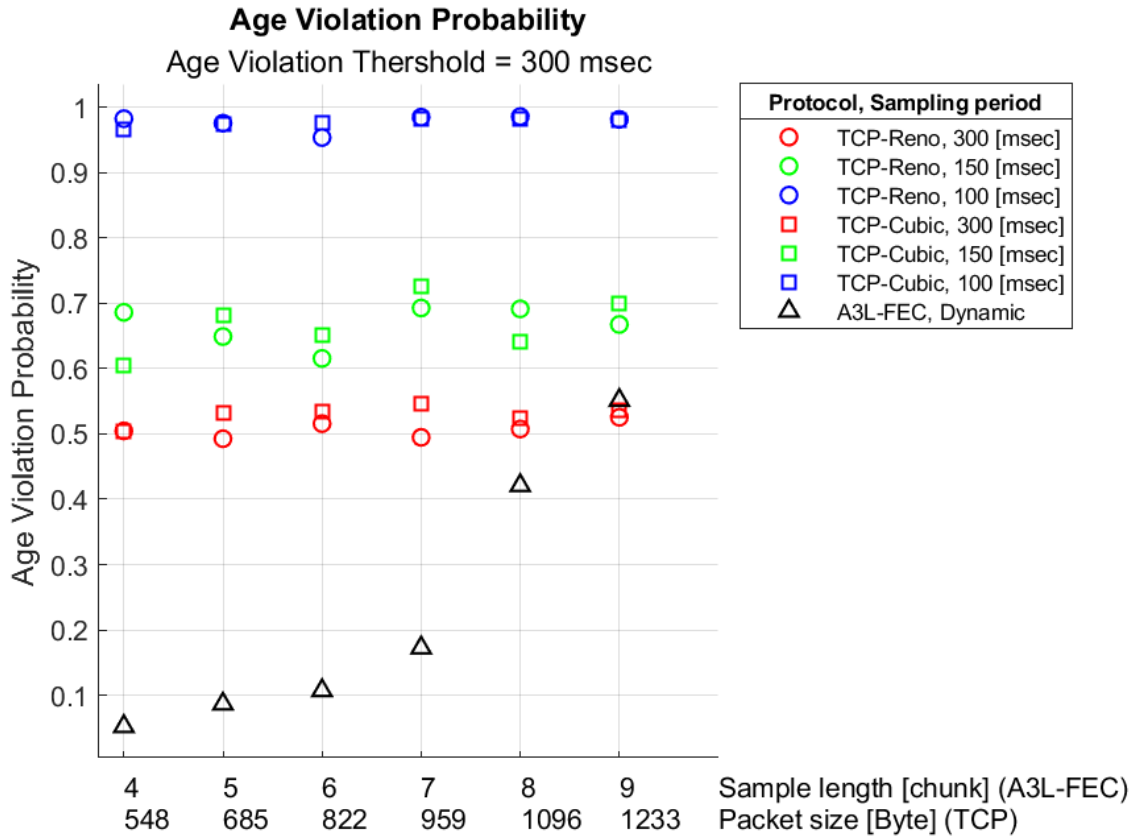
Patent application

[Baghaee, Bacinoğlu, Shakiba-Herfeh, Uysal 2023]

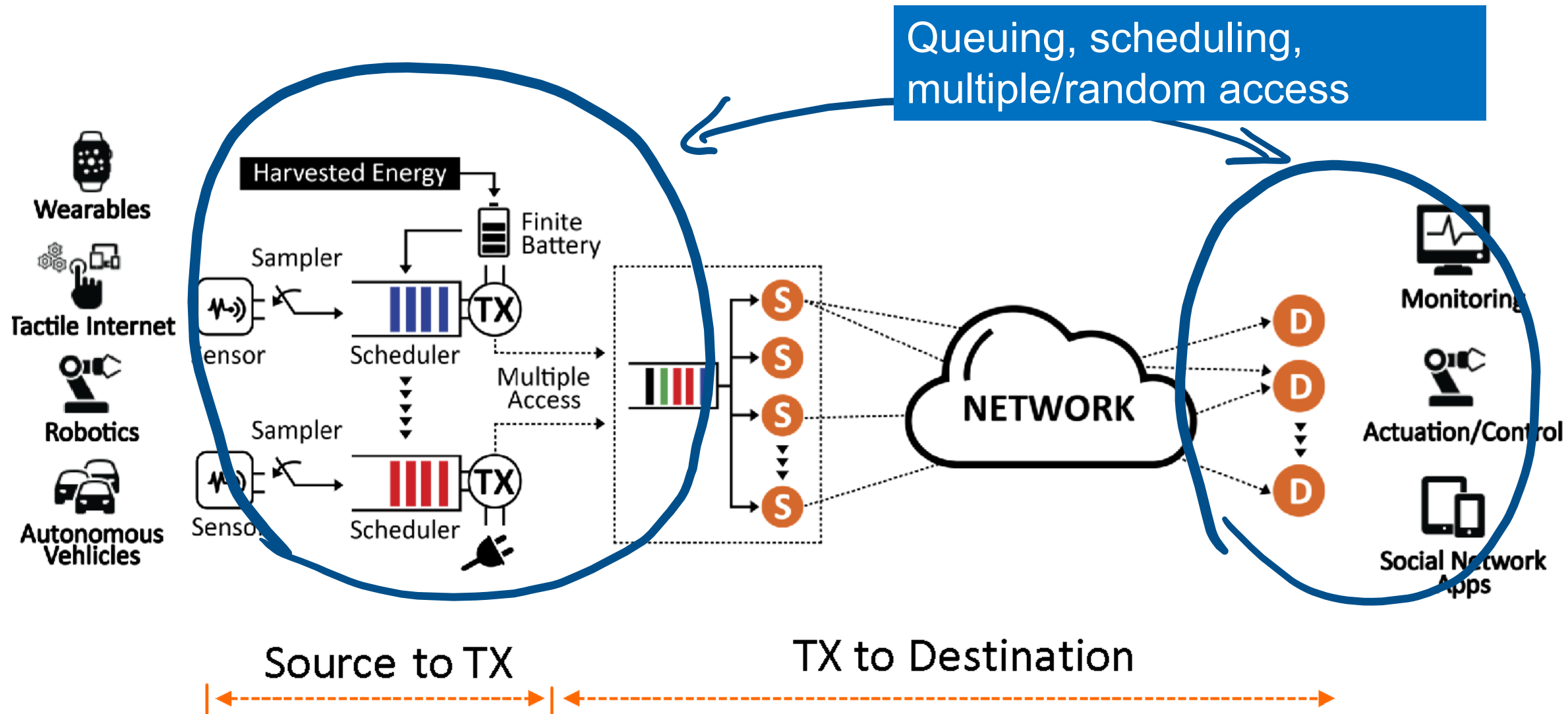




# Results: A<sup>3</sup>L-FEC-VSVB Vs. TCP-Cubic and TCP-Reno (2000 samples)



# Freshness via Link Layer Mechanisms

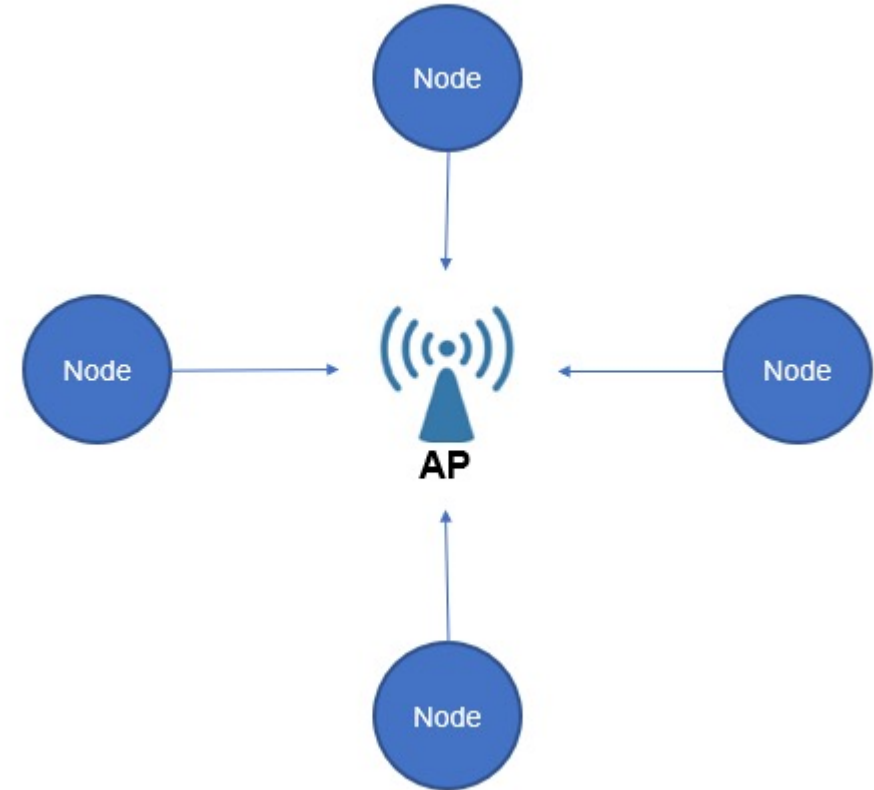


# Freshness in Random Access

- IoT/MTC
  - Short Packets
- CSMA Types not suitable:
  - Significant overhead with large populations

## Distributed Policy

- Generate-at-will model
- Slotted time, no collision resolution
- Each source makes independent decisions
- Sources keep track of their age



The goal is to minimize average AoI across time (symmetric users)

# Threshold ALOHA [Atabay, Kaya & Uysal, Infocom Aol Wksp 2020]

## SLOTTED ALOHA

All users can transmit at any time

## THRESHOLD ALOHA

- Users idle for  $\Gamma$  time slots after successful transmission (Passive, sleeping) before becoming active
- Active users attempt transmission with probability  $\tau$  in each time slot

$\Gamma = 1 \rightarrow$  Slotted ALOHA (Special Case)

Makes transmission attempts with probability  $\tau$

Age = 7

Not Allowed to Make Transmissions

Age = 1

Makes transmission attempts with probability  $\tau$

Age = 5

Not Allowed to Make Transmissions

Age = 3

Threshold Aloha ( $\Gamma = 5$ )

Destination

Fresh flows stay silent

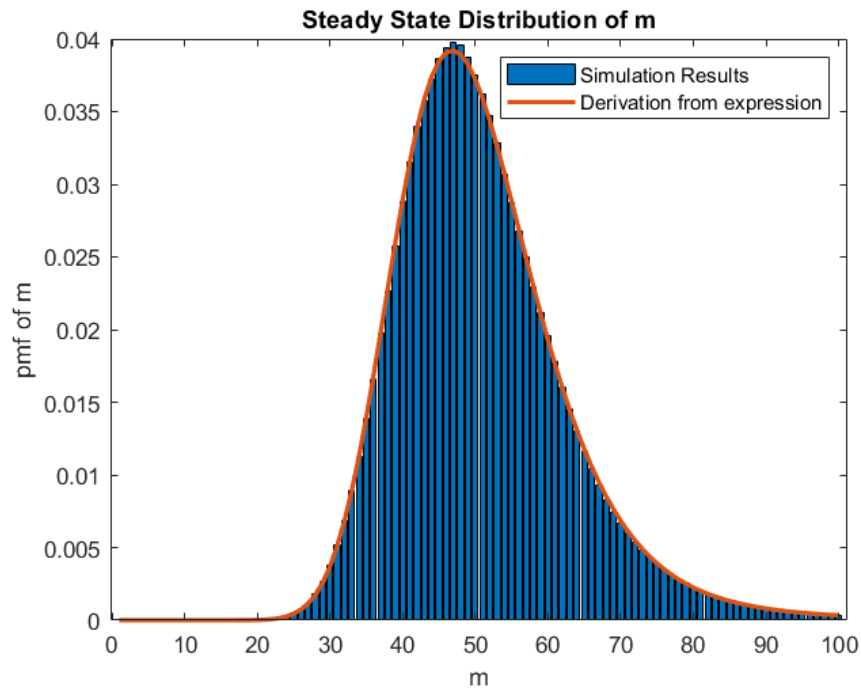


Network thinning



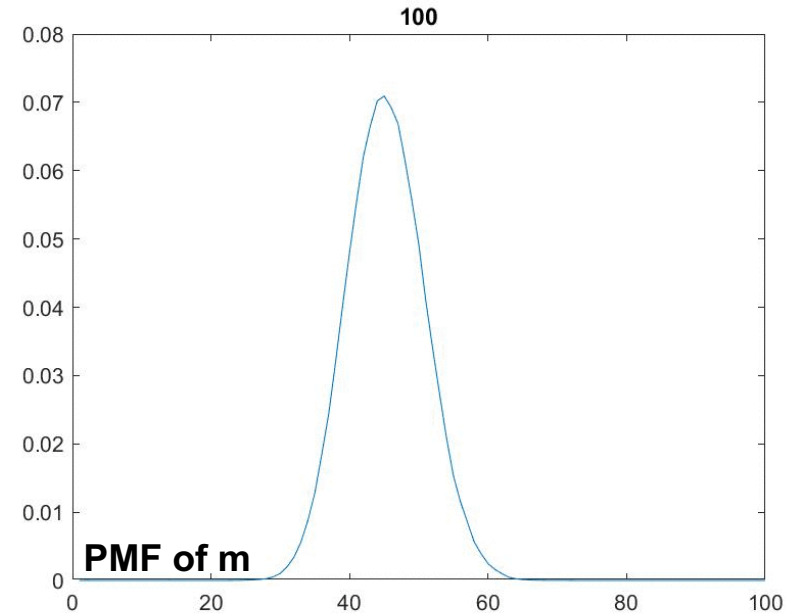
Greater reduction of Aol

# Network Thinning [Yavascan & Uysal JSAC 2020]



Steady state distribution of the number of active nodes in the network can be derived explicitly.

## Number of Sources

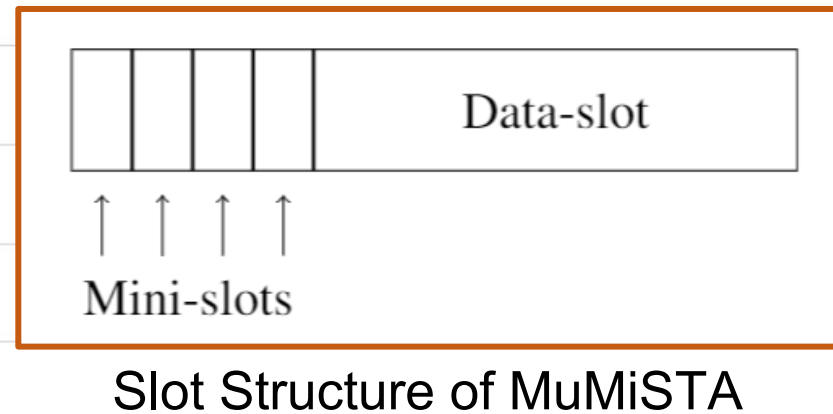
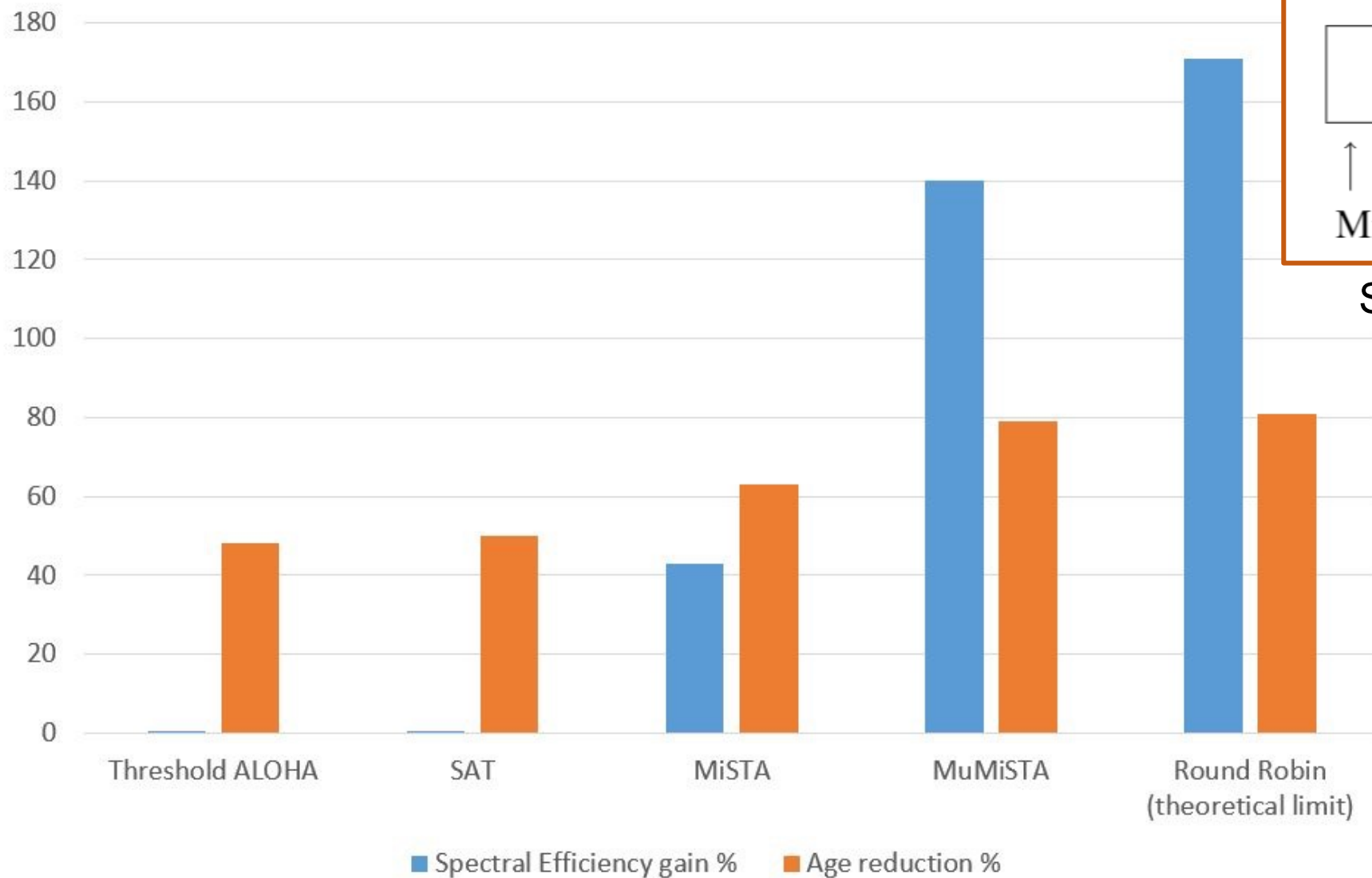


System converges to a slotted ALOHA with *fewer* number of users as the number of users grows.

Only 20% of all users are active at the steady state under optimal parameters and average Aol is reduced by 48%.

# MiSTA [Ahmetoglu, Yavascan & Uysal 2020]

## MuMiSTA, patented 2021



# Freshness / Value: QAol

➤ Query Age of Information (QAol): Aol at query instants  $Q_k$  (Chiarotti et. al. 2021)

- Pull based systems.
- Eg. Satellite IoT
  - GEO: Periodic Query instants, constant coverage
  - LEO: Intermittent connectivity with some blind slots, yet predictable query times.
  - Best to send right before query time, but perhaps allowing enough time for retransmission.

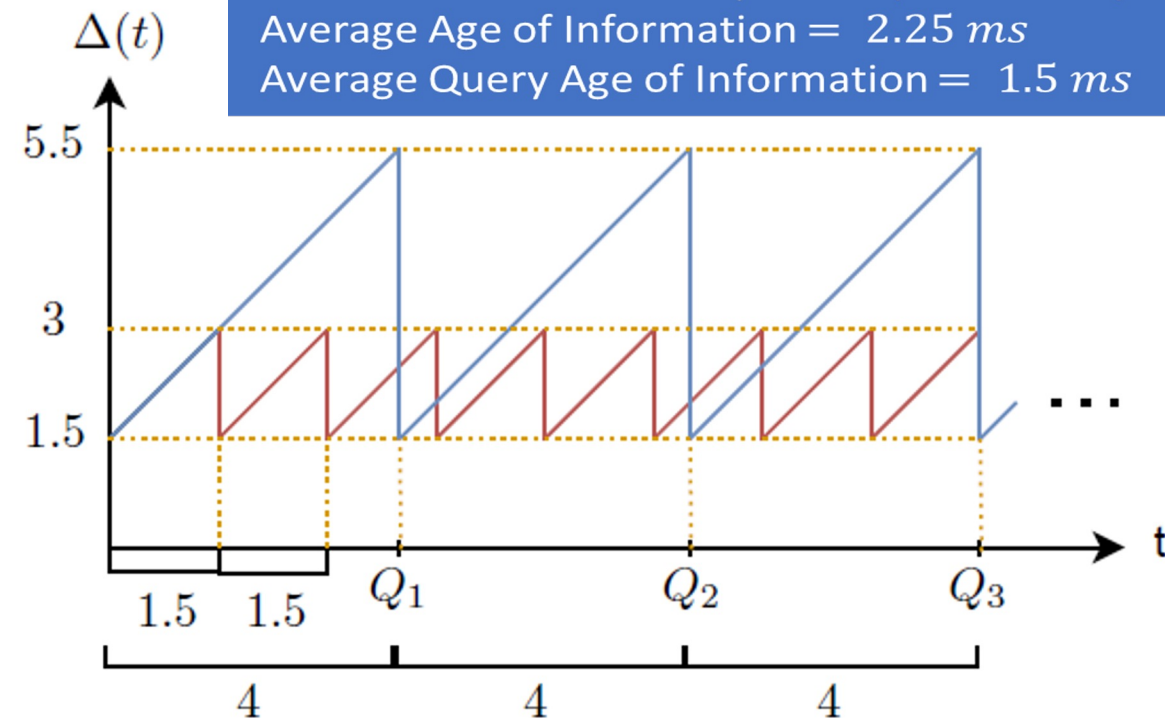
## Aol Optimization

- Worse Performance
- Wasteful

## QAol Optimization

- Better freshness
- Fewer transmissions

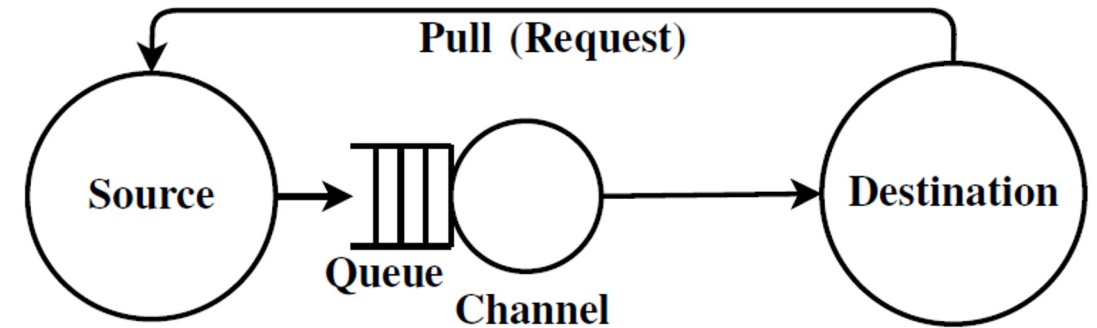
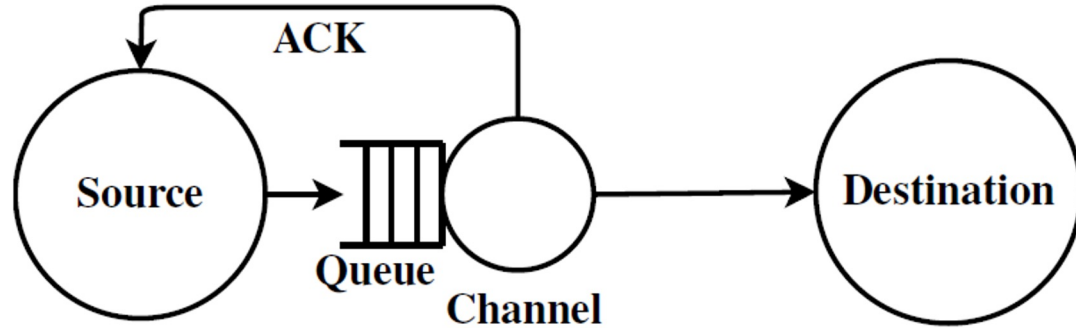
[Ildiz et al 2021]



# “Update-or-Wait”

vs

# “Pull-or-Wait”



$$\bar{g}_{opt} = \min_{\pi \in \Pi} \limsup_{n \rightarrow \infty} \frac{E[\int_0^{D_n} g(\Delta(t)) dt]}{E[D_n]}$$

$$s. t. \liminf_{n \rightarrow \infty} \frac{1}{n} E\left[\sum_{i=1}^n (Y_j + Z_j)\right] \geq \frac{1}{f_{max}}$$

$$*\bar{h}_{opt} = \min_{\pi \in \Pi} \limsup_{n \rightarrow \infty} \frac{1}{n} E\left[\sum_{k=1}^n g(\Delta(Q_k))\right]$$

$$s. t. \liminf_{n \rightarrow \infty} \frac{1}{n} E\left[\sum_{i=1}^n (Y_j + Z_j)\right] \geq \frac{1}{f_{max}}$$

- Equal, for Poisson queries (Ildiz et al 2021)
- PoW dominates (Ildiz et al 2022) for
  - periodic queries, or
  - Constant delay
- General problem open

with the assumptions

- The penalty function  $g$  is continuous.
- $Y_j$  and  $Z_j$  is lower and upper bounded i.e.

$$P(Y_j \in [B_L, B_U]) = 1$$

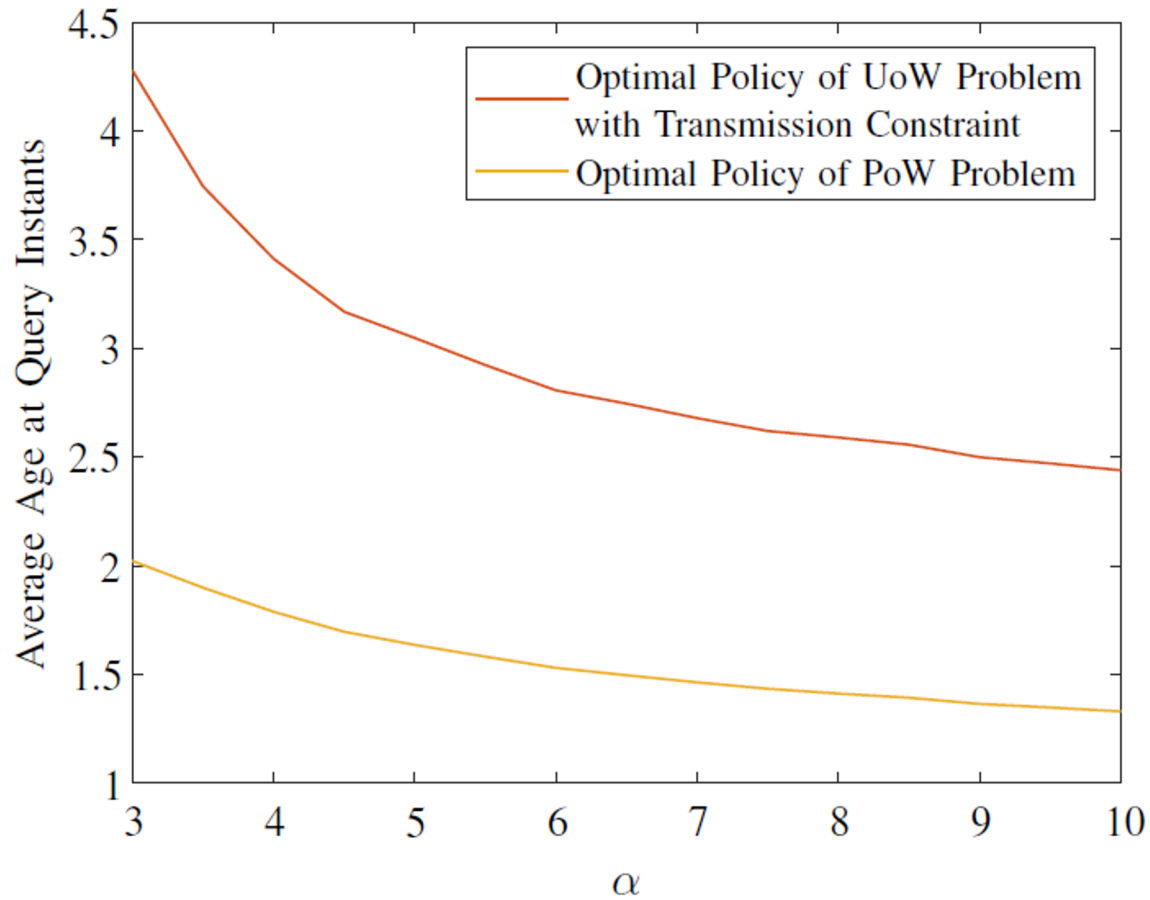
$$Z_j \in [0, M]$$



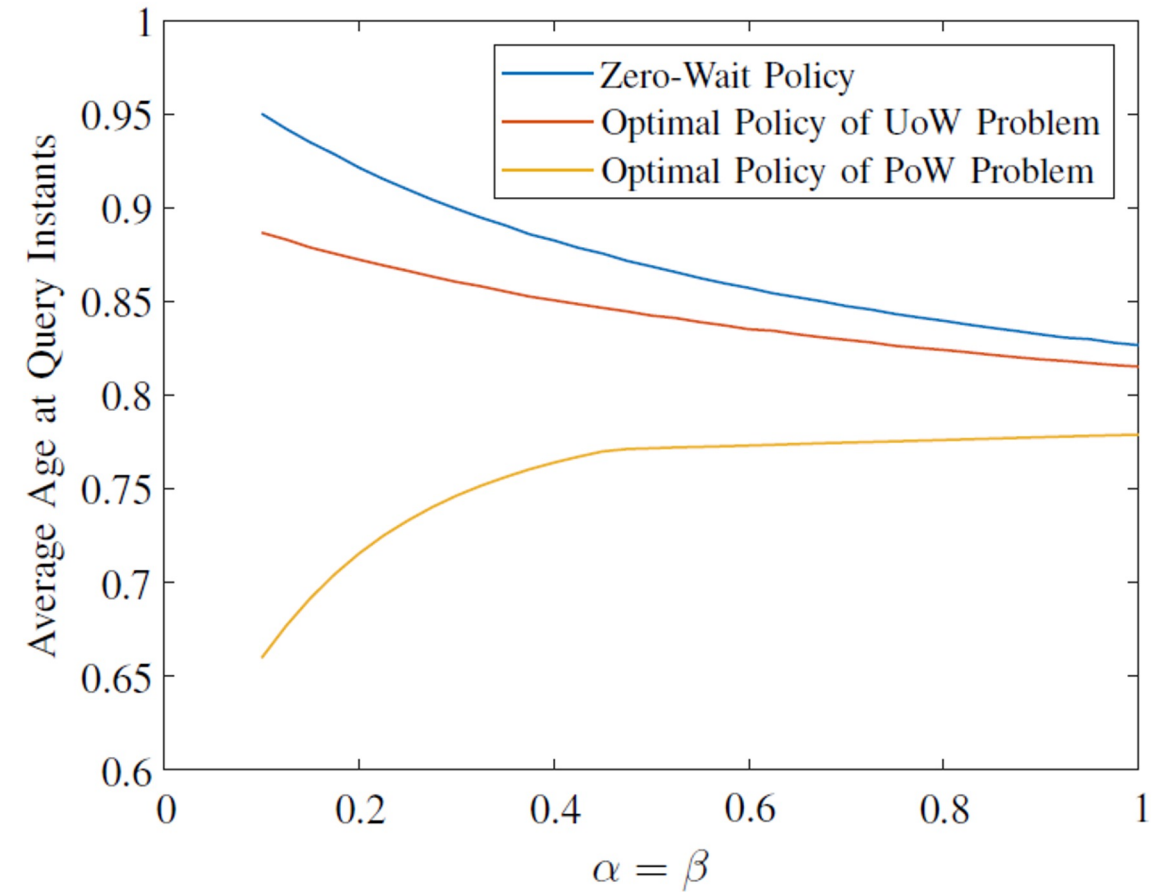


# PoW vs UoW

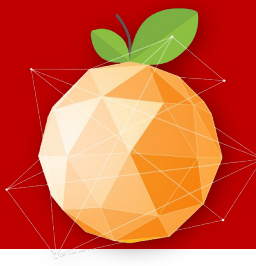
Pareto distributed transmission delays  
(IID with parameters  $x_m = 1$  and  $\alpha$ )



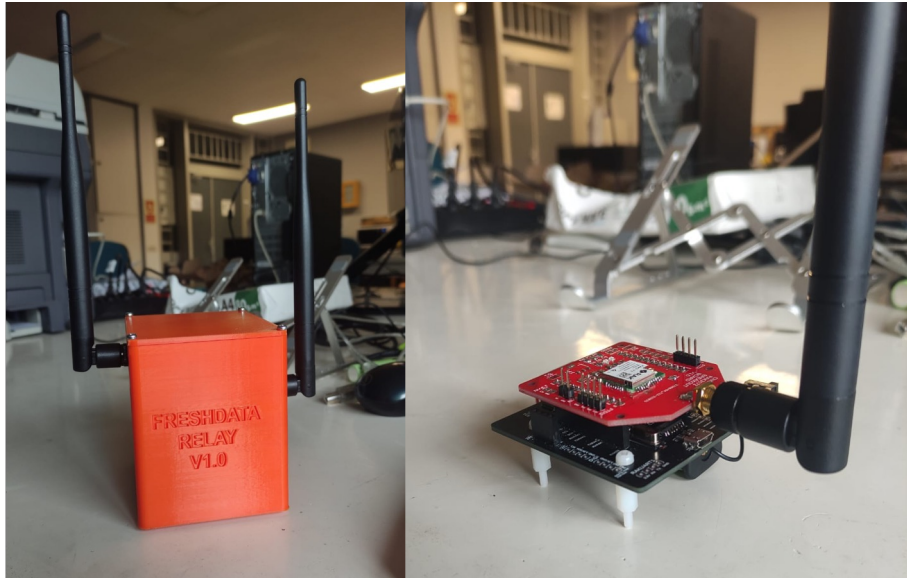
Transmission delays are i.i.d. beta random variables  
with parameters  $(\alpha, \beta)$ .



# Goal Oriented Communication entering products



- [New startup: FRESHDATA Technology](#)
- [Contributions to LoRaWAN standardization in progress](#)

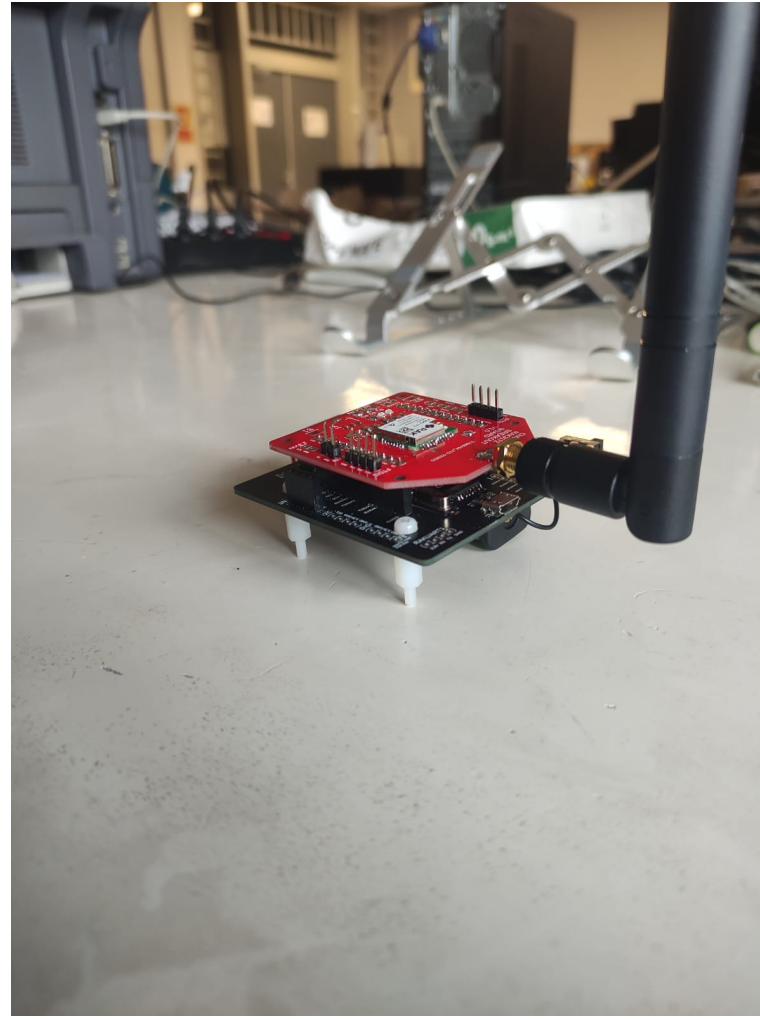


Satellite IoT

NTN

Terrestrial IoT

# FRESHDATA Relay



# Broader Applications

- SUIT (Sustainable Urbanization through Innovative Technologies)
- Consortium of universities, research labs, companies
- 11 projects – all spinning off from FRESH-IoT



# Thank you, from the CNG team



Est. 2007



<http://cng-eee.metu.edu.tr>

Currently:

2 Faculty members; 2 PhD, 8 MS, 13 Undergraduate (STAR) students; 34+ Alumni